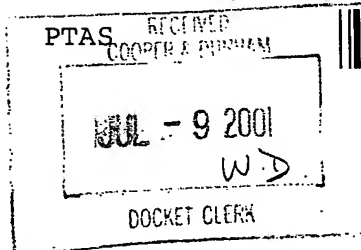


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JULY 03, 2001

COOPER & DUNHAM LLP  
JOHN P. WHITE  
1185 AVENUE OF THE AMERICAS  
NEW YORK, NEW YORK 10036



\*101687602A\*

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RECORDATION DATE: 04/02/2001

REEL/FRAME: 011709/0188  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
CHANG, YUAN

DOC DATE: 01/23/2001

ASSIGNOR:  
MOORE, PATRICK S.

DOC DATE: 01/23/2001

ASSIGNEE:  
TRUSTEES OF COLUMBIA UNIVERSITY IN  
THE CITY OF NEW YORK, THE  
WEST 116TH STREET AND BROADWAY  
NEW YORK, NEW YORK 10027

SERIAL NUMBER: 09733728  
PATENT NUMBER:

FILING DATE: 12/08/2000  
ISSUE DATE:

SAUNDRA BALLENGER, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

04-26-2001

Docket No. 62681/JPW/SHS/MVM


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## 1. Name of conveying party(ies):

 Yuan Chang  
 Patrick S. Moore

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

4-2-01

## 3. Nature of Conveyance:

☒ Assignment☐ Security Agreement☐ Other☐ Merger☐ Change of Name

Execution Date(s): 1/23/01; 1/23/01

## 2. Name and address of receiving party(ies):

 The Trustees of Columbia University  
 Name: in the City of New York

Internal Address:

Street Address: West 116th Street and Broadway

City/State/Zip: New York, New York 10027

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is(are):

 A. Patent Application No.(s) 09/733,728  
 filed December 8, 2000

B. Patent No.(s)

APR - 2 2001

Additional numbers attached?

☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John P. White

Internal Address: Cooper &amp; Dunham LLP

Street Address: 1185 Avenue of the Americas

City/State/Zip: New York, New York 10036

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR §3.41): \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

03-3125

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

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 Name of Person Signing  
 Reg. No. 45,923

Signature

March 30, 2001

Date

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# Assignment

*In consideration of One Dollar (\$1.00). and other good and valuable considerations. the receipt of which is hereby acknowledged. we the undersigned.*

Yuan Chang, residing at 20 Quarry Lane, Irvington, New York 10533 and  
Patrick S. Moore, residing at 20 Quarry Lane, Irvington, New York 10533.

*Hereby sell, assign and transfer to THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE  
CITY OF NEW YORK a corporation of the State of  
New York having a place of business at West 116th & Broadway  
New York in the County of New York and State of New York, 10027, USA  
its successors, assigns and legal representatives. the entire right, title and interest for all  
countries. in and to any and all inventions which are disclosed and claimed, and any and all  
inventions which are disclosed but not claimed, in the application for United States Patent.  
which has been executed by the undersigned on 1/23/01; 1/23/01 and is entitled*

*SPLICED GENE OF KSHV/HHV8, ITS PROMOTER AND MONOCLONAL ANTIBODIES SPECIFIC  
FOR LANA2*

( US Serial No. 09/733,728 filed December 8, 2000)

*and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all  
other applications for U.S. Letters Patent or other related property rights in any and all  
foreign countries which have been or shall be filed on any of said inventions disclosed in said  
application; and in and to all original and reissued patents or related foreign documents which  
have been or shall be issued on said inventions;*

*Authorize and request the Commissioner of Patents of the United States to issue to said  
Assignee, the corporation above named, their successors, assigns and legal representatives, in  
accordance with this assignment, any and all United States Letters Patent on said inventions  
or any of them disclosed in said application;*

Agree that said Assignee may apply for and receive Foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: Jan 23, 2001 20 Yuan Chang [L.S.]  
Witness: Eileen Madison  
EILEEN MADISON  
820 COLGATE AVE  
BRONX, NY 10473

Date: Jan 23, 2001 20 Patrick S. Moore [L.S.]  
Witness: Eileen Madison  
EILEEN MADISON  
820 COLGATE AVE  
BRONX, NY 10473

ASSIGNMENT  
BY

YUAN CHANG  
PATRICK S. MOORE

TO

THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

Application for Letters Patent  
of the United States for:

SPLICED GENE OF KSHV/HHV8, ITS  
PROMOTER AND MONOCLONAL ANTIBODIES  
SPECIFIC FOR LANA2

Attorney ~~for~~ John P. White

Cooper & Dunham LLP

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212-278-0400